PATENT

DOCKET NO.: 1182-6

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT : MASSOUD SABOURIAN

SERIAL NO.

FILED

TITLE : A METHOD OF FINANCIAL INVESTMENTS IN STOCKS,

SHARES OR OTHER FINANCIAL ENTITIES

EXAMINER

GROUP ART

UNIT NO. :

## PRELIMINARY AMENDMENT

Hon. Commissioner of Patents

and Trademarks

Washington, D.C. 20231

Dear Sir:

The state of

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Mark Turn

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Preliminary to the initial Office Action, please amend the above-identified application as follows:

#### IN THE APPLICATION

Page 1, above line 5, please add the following paragraph:

### --RELATED APPLICATION

This application is a continuation-in-part of application serial no. 09/556,131 filed April 20, 2000.--

## IN THE CLAIMS

Please amend the following claims:

Claim 16, line 1, change "to any of claims 12 to 15" to --claim 12--;

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Claim 18, line 1, change "to any of claims 12 to 15" to --claim 12--; and

Claim 19, line 1, change "to any of claims 12 to 15" to --claim 12--.

## **REMARKS**

By this Preliminary Amendment, reference to a related application has been entered in the application. In addition, the claims have been amended so as to remove the multiple dependency of certain of the claims and avoid the surcharge associated therewith. Accordingly, entry of the foregoing amendment is respectfully requested and an early and favorable action on the merits of the application is earnestly solicited.

Respectfully submitted,

Thomas M. Galgano, (27,638)

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